

APPENDIX: ACCEPTABLE FORMS OF IDENTIFICATION

All applicants/shareholders must provide copies of their identification documents as outlined below.

At least TWO forms of the below documents:

- A driver's licence or permit issued under a law of a State or Territory or equivalent authority of a foreign country that contains a photograph of the person in whose name the document is issued
- a passport issued by the Commonwealth
- a medicare card
- a passport or a similar document issued for the purpose of international travel, that:
 - contains a photograph and the signature of the person in whose name the document is issued;
 - is issued by a foreign government, the United Nations or an agency of the United Nations; and
 - if it is written in a language that is not understood by the person carrying out the verification - is accompanied by an English translation prepared by an accredited translator

It is not acceptable to produce two forms of the same ID type – such as both an Australian and a British passport.

All mailed identification documents (domestic or international) must be certified by an authorised person as outlined below.

Domestic applicants may choose to email or mail their application and ID documents to OpenMarkets. In the case of email, OpenMarkets will verify your identify and identification documents electronically. A 'safe harbour' result is considered a pass. If you do not pass safe harbour, you will be required to mail to us certified copies of your ID documents.

International applicants must always mail their application and identification documents to OpenMarkets. These documents will then be verified electronically with a credit reporting agency.

CERTIFYING YOUR DOCUMENTS (if mailing your documents to OpenMarkets)

All mailed/posted copies of ID submitted to us must be certified by an approved certifier. We cannot accept copies of certified copies (where the certifier's signature is not an original). You can have copies of your ID certified by any one of the following:

In Australia

- Legal professions: Legal practitioner, Patent attorney, Trademarks attorney
- Court positions: Bailiff, Justice of the Peace, Judge, Magistrate, Registrar, or Deputy Registrar, Clerk, Master of a court, CEO of a Commonwealth court
- Accountant (member of ICA, ASA, NIA or CPA, ATMA, NTAA)
- Member of Chartered Secretaries Australia or Engineers Australia
- Commissioner for Affidavits, or Commissioner for Declarations (dependent on jurisdictions)
- Government representatives (elected): Federal, State or Territory or Local
- Public servants: Federal, State or Territory or Local – employed for five years or more.
- Permanent employees of the Australian Health Practitioner Regulation Agency
- Bank officer, building society officer, credit union officer, finance company officer – employed for five years or more
- Minister of religion, or marriage celebrant
- Australian Defence Force (an officer; or a non-commissioned officer with 5+ years of continuous service; a warrant officer)
- Australasian Institute of Mining and Metallurgy
- Notary public
- Police officer, Sheriff or Sheriff's officer
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees.

Outside Australia:

- Justice of the Peace
- Notary public
- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
- Employee of the Commonwealth or the Australian Trade Commission who works outside Australia.
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees;
- An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees.

Please mail identification documents along with your OpenMarkets application and accompanying bank application forms (if applicable) to:

OpenMarkets Australia
Suite 300
189 Queen Street
Melbourne VIC 3000