

Issuer Sponsored Holding – USE THIS FORM

These are holdings that are:

- Sponsored by a share registry and not a broker
- Identified by an individual Securities Reference Number (SRN) which begins with an "I"

Broker Sponsored Holding – DO NOT USE THIS FORM

These are holdings that are:

- CHESSE sponsored by a broker
- Attached to a Holder Identification Number (HIN) that begins with an "X"

To sell this type of holding, contact us on 1300 769 433

Selling an Issuer Sponsored Holding

Use this form if you wish to sell a listed security that is Issuer Sponsored in an individual or joint name. Complete, sign and mail this form to:

OpenMarkets Australia Ltd
Client Services
Suite 300, 189 Queen Street
Melbourne VIC 3000

Need help?

Contact Client Services
1300 769 433
service@openmarkets.com.au

along with:

- original certified copies of identification (see last page for details)
- a copy of your latest holding or dividend statement

OR **submit via email** to service@openmarkets.com.au (**Note: if you do not pass the electronic ID check you will need to mail to us a certified copy of your passport or drivers licence. We will notify you if this happens.**)

Multiple 'one-offs' in the same name

You can sell up to three holdings registered in the exact same name(s) using this form. For additional holdings please provide an instruction in a cover letter and attach copies of all holding statements.

Our process

We're a HIN-based broker which means in order to sell your Issuer holdings we need to transfer it onto a new CHESSE sponsored Holder Identification Number (HIN) in your name. By signing this form you acknowledge this process, and understand and agree to CHESSE sponsorship.

Our fees

Brokerage is \$50 per trade (up to \$20,000 trade value) or 0.25%.

Important reading

Before you submit this form you must have read and understood the following OpenMarkets documents:

1. Client Agreement

Outlines all the terms and conditions of trade and includes the CHESSE Sponsorship Agreement.

2. Financial Services Guides

A summary of our fees, including brokerage, and our transactional terms and conditions

3. Best Execution Policy

Outlines our duty and policy to ensure the best execution for clients.

All of which can be found at www.openmarkets.com.au

What happens next?

- 1 Once received your application be processed and prepared within 1-3 business days
- 2 We'll alert the Primary Contact (Shareholder 1) by telephone and confirm the sell order/sell date
- 3 Once the order has been executed, a confirmation note is emailed to the Primary Contact
- 4 Settlement takes place on 3 business days after the trade date when funds are credited into the nominated bank account.

Please mail this form to OpenMarkets Australia, Suite 300, 189 Queen Street Melbourne VIC 3000 along with certified copies of your ID (see back page for details) and a recent copy of the holding or dividend statement(s).

HOLDING REGISTRATION DETAILS (as per latest holding or dividend statement)	
Shareholder Name(s)	
Account Designation (if applicable)	< > A/C
Registration Address	
	<i>State</i> <i>Post Code</i>
I/we authorise OpenMarkets to sell:	
Full name of Security/Stock	Stock Code e.g. BHP
Quantity (in numbers)	
Quantity (in words)	
Security Reference Number e.g. I0009876555	
SHAREHOLDER 1 DETAILS (primary contact)	
Full Name	
Date of Birth	
Residential Address	
Contact Details	Mobile/Phone:
	Email:
Additional Information for Sole Traders only:	
Full Business Name (if applicable)	
ABN	
Principal place of business	
SHAREHOLDER 2 DETAILS IF APPLICABLE	
Full Name	
Date of Birth	
Residential Address	
	<i>State</i> <i>Post Code</i>
Contact Details	Mobile/Phone:
	Email:

ADDITIONAL HOLDINGS TO SELL (registered in the same name)		IF APPLICABLE
I/we authorise OpenMarkets to sell:		
Full name of Security/Stock	Stock Code e.g. BHP	
Quantity (in numbers)		
Quantity (in words)		
Security Reference Number e.g. I0009876555		
I/we authorise OpenMarkets to sell:		
Full name of Security/Stock	Stock Code e.g. BHP	
Quantity (in numbers)		
Quantity (in words)		
Security Reference Number e.g. I0009876555		
DIRECT CREDIT AUTHORITY		
I/We authorise and request OpenMarkets to credit the sale proceeds into the bank account nominated below. **Only a bank account held in the name of the shareholder(s) can be accepted**		
Account Name		
Account No.	BSB No./Sort Code.	
SWIFT/IBAN No. (if applicable)		
Name of Financial Institution or Bank		
AGREEMENT & DECLARATION		
<p>By signing this form I/we authorise OpenMarkets to sell the securities listed in this form and that I/we have read, understood and agree to the terms and conditions outlined in the:</p> <ul style="list-style-type: none"> • OpenMarkets Client Agreement • Financial Services Guide • Best Execution Policy <p>All of which can be downloaded via the OpenMarkets website at www.openmarkets.com.au/support/forms/</p>		
CHESS SPONSORSHIP AGREEMENT		
I/We also acknowledge that in order to facilitate this sale request OpenMarkets will CHESS sponsor my/our one-off sale account. I/we thereby acknowledge that I/we have read and understood Part 2 of the Client Agreement that relates to CHESS Sponsorship.		
Shareholder 1 Full Name (PLEASE PRINT)	Signature	Date
	x	
Shareholder 2 Full Name (PLEASE PRINT)	Signature	Date
	x	

Please attach to this form certified copies of ID (see back page) and A CURRENT COPY OF THE HOLDING STATEMENT or LATEST DIVIDEND STATEMENT. If you don't have a statement, you can obtain one by contacting the related share registry e.g. Computershare or Link Market Services.

Identification ****certified copies only****

Please mail to us along with this form, original certified copies of acceptable ID as outlined below.

PRIMARY ID DOCUMENTS

Provide ONE of the following only:

- Australian Driver's Licence (including the back if you have changed your address) – MUST be current
- Australian Passport – (a passport expired within the previous 2 years is acceptable)
- Proof of Age card issued by a State or Territory – MUST be current

SECONDARY ID DOCUMENTS – if you do not own any of the above documents

Provide ONE of the following:

- Australian Birth Certificate or birth certificate issued by a foreign government
- Australian Citizenship or citizenship certificate issued by a foreign government
- Pension card issued by Centrelink
- Medicare Card issued by the Australian government

Plus ONE of the following (must contain full name and residential address):

- A utility bill of no more than 3 months' old
- A document issued by a local government body no more than 3 months' old
- A document issued by the Australian Taxation Office – no more than 12 months' old (block out TFN no. before supplying)

FOREIGN ID DOCUMENTS

Provide a foreign passport or similar travel document containing a photograph and the signature of the person*

OR both of the following:

- Foreign driver's licence that contains your photograph and date of birth*
- National ID card issued by a foreign government containing a photograph and a signature of the person

PLUS a utility bill of no more than 3 months' old or any other statement that confirms your residential address

*Any non-English documentation must be accompanied by an English translation prepared by an accredited translator.

Certifying your documents

All copies of ID submitted to us must be certified by an approved certifier. We cannot accept copies of your ID or copies of certified copies (where the certifier's signature is not an original). You can have copies of your ID certified by any one of the following:

In Australia

- Health professions: Pharmacist, Chiropractor, Dentist, Medical practitioner, Nurse, Optometrist, Physiotherapist, Psychologist
- Legal professions: Legal practitioner, Patent attorney, Trade marks attorney
- Court positions: Bailiff, Justice of the Peace, Judge, Magistrate, Registrar, or Deputy Registrar, Clerk, Master of a court, CEO of a Commonwealth court
- Accountant (member of ICA, ASA, NIA or CPA, ATMA, NTAA)
- Member of Chartered Secretaries Australia or Engineers Australia
- Commissioner for Affidavits, or Commissioner for Declarations (dependent on jurisdictions)
- Government representatives (elected): Federal, State or Territory or Local
- Public servants: Federal, State or Territory or Local – employed for five years or more.
- Permanent employees of the Australian Health Practitioner Regulation Agency
- Bank officer, building society officer, credit union officer, finance company officer – employed for five years or more
- Veterinary surgeon
- Minister of religion, or marriage celebrant
- Australian Defence Force (an officer; or a non-commissioned officer with 5+ years of continuous service; a warrant officer)
- Australasian Institute of Mining and Metallurgy
- Notary public
- Police officer, Sheriff or Sheriff's officer
- Teacher (full-time) at a school or tertiary education institution

Outside Australia:

- Justice of the Peace
- Notary public
- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
- Employee of the Commonwealth or the Australian Trade Commission who works outside Australia.

Please mail original certified copies of ID as outlined above along with this application.